



PATENT
Docket No.: 09163-20901

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Allen et al.
Serial No. : 09/929,886 **Group Art Unit** : 3695
Filed : August 15, 2001 **Examiner** : Chencinski
For : ***METHOD AND SYSTEM FOR AUTOMATIC EXECUTION OF A
SECURITIES TRANSACTION***

AMENDMENT AND REQUEST FOR RECONSIDERATION
(37 C.F.R. § 1.111)

Mail Stop Amendment
COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

This Amendment and Request for Reconsideration is submitted in response to an outstanding Office Action dated June 17, 2009.

Amendments to the claims are reflected in the listing of claims, which begins on page 2 of this paper.

Remarks/Arguments begin on page 22 of this paper.